

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

For new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3781-001-27

First Inventor or Application Identifier

Frank Q. Li et al.

Title

POLYMERIC CONJUGATES FOR DELIVERY OF MHC-RECOGNIZED
EPITOPES VIA PEPTIDE VACCINES

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **65**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **5**
4. ☒ Oath or Declaration Total Pages **3**
 - a. ☒ Newly executed (original)
 - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☐ Other:

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

Amend the specification by inserting before the first line the sentence:

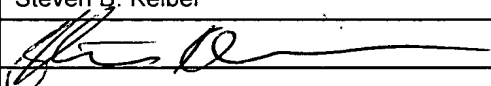
This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☒ This application claims priority of provisional application Serial No. 60/310,498

Filed August 8, 2001

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	February 5, 2002
Name		Registration No.	
		Telephone	202-861-3900

FEE TRANSMITTAL

Docket No.	3781-001-27
Serial No.	NEW PATENT APPLICATION
Filing Date	HEREWITH
Inventor(s)	Frank Q. Li et al.
Group Art Unit	
TOTAL AMOUNT OF PAYMENT	\$452.00
Examiner	

1. ☒ Applicant claims small entity status.
- ☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
01	740	201	370	Utility filing fee	370.00
06	330	206	165	Design filing fee	
07	510	207	255	Plant filing fee	
08	740	208	370	Reissue filing fee	
14	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$370.00

EXTRA CLAIM FEES

claims	14	-	20*	=	0	x	\$9	=	0
ind. claims	4	-	3*	=	1	x	\$42	=	42

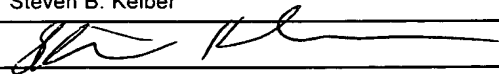
☐ Multiple Dependent Claims \$140 =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	40.00
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	*Reissue independent claims over original patent	
110	18	210	9	*Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$42.00

* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$40.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	February 5, 2002
Name		Telephone	202-861-3900
		Registration No.	